

Audit Report

**AUDIT OF
THE ELECTRONIC ACQUISITION SYSTEM
(EAS)/COMPRIZON
REPORT NUMBER A100122/P/F/R11008**

March 25, 2011

**Office of Inspector General
General Services Administration**



Office of Audits

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U.S. GENERAL SERVICES ADMINISTRATION
Office of Inspector General

Date: March 25, 2011

To: Robert A. Peck
Commissioner, Public Buildings Service (P)

Reply to Carolyn Presley-Doss
Attn of: Deputy Assistant Inspector General for Finance and Information Technology
Audits (JA-F)

Subject: Audit of the Electronic Acquisition System (EAS)/Comprizon, Report Number
A100122/P/F/R11008

This letter presents the results of our audit of the functionality and control environment of the Electronic Acquisition System/Comprizon™ (EAS/Comprizon). The Public Buildings Service (PBS) should take prompt actions in replacing or enhancing the system to meet PBS needs.

Background

PBS is responsible for maintaining a national application to manage PBS acquisitions, while complying with many government-wide initiatives for efficiencies and reporting. EAS/Comprizon, a commercial-off-the-shelf (COTS) product developed by CACI International, Inc., is used to support the daily business of over 2,500 acquisition associates nationwide. The system is intended to provide PBS with a comprehensive software product to support the full contracting lifecycle within the agency.

Results of Audit

EAS/Comprizon does not meet basic system requirements. Agencies are required to be in substantial compliance with the Federal Financial Management Improvement Act (FFMIA). Substantial compliance is achieved when an agency's financial management systems routinely provide reliable and timely financial information for managing day-to-day operations, produce reliable financial statements, maintain effective internal control, and comply with legal and regulatory requirements. The National Institute of Standards and Technology, Special Publication 800-53 also requires that the organization separate the duties of individuals, as necessary, to prevent fraudulent activity without collusion.

Currently, EAS/Comprizon does not interface with Pegasys (GSA's accounting system), facilitate prompt close out of completed procurements, or support separation of duties for all



system users. In addition, EAS/Comprizon lacks automation of certain processes within the system, resulting in the need for multiple workarounds.

We are not making specific recommendations for corrective actions as part of this report. We based this decision on certain factors. First, for the past several years, recommendations concerning the interface issue and the system's internal control environment have been made by the independent public accountant as part the Agency's annual financial statement audit. Second, PBS has developed alternative solutions to submit to its senior management that should address the concerns raised in this letter. We advised PBS management, during the exit conference, that the selected solution should incorporate needed functionality and appropriate internal controls.

Objective, Scope and Methodology

The objective of the audit was to assess the success of EAS/Comprizon in meeting functional requirements and user needs. To accomplish this objective, we:

- Met with system officials including the EAS/Comprizon Program Manager, PBS Deputy Assistant Commissioner for the Office of Acquisition Management, and the PBS Chief Information Officer. We also met with users of EAS/Comprizon.
- Obtained access to the system.
- Analyzed system documentation and budgetary planning documents, including reported system performance measures.
- Reviewed prior audit reports.

This audit included a review of internal controls and functional capabilities. We did not assess all of the EAS/Comprizon controls. The audit was conducted between March 2010 and January 2011 in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the audit evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.



Larry Bateman
Audit Manager
Finance and Information Technology Audit Office (JA-F)

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APPENDIX A

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